



17 February 2025

Stuart Roberts
Adviser, Listings Compliance
20 Bridge Street
Sydney NSW 2000

Via e-mail: ListingsComplianceSydney@asx.com.au

Dear Stuart,

RE: Cardiex Limited ('CDX'): Price - Query

Cardiex Limited (ASX: CDX) (the **Company**) refer to your letter dated 17 February 2025 which requests responses to questions raised regarding the change in price of CDX's securities from a low of \$0.115 to a high of \$0.155 today, 17 February 2025.

We have used the same defined terms as used in your letter and respond to your questions as follows.

- 1. Is CDX aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?**

No.

- 2. If the answer to question 1 is "yes".**

- (a) Is CDX relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in CDX's securities would suggest to ASX that such information may have ceased to be confidential and therefore CDX may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.**
- (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).**
- (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?**

Not applicable.

- 3. If the answer to question 1 is "no", is there any other explanation that CDX may have for the recent reading in its securities?**

No, Cardiex is not aware of any explanation for the increased securities price of the Company.

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4. **Please confirm that CDX is complying with the Listing Rules and, in particular, Listing Rule 3.1.**

Cardiex confirms that it is compliance with the Listing Rules and, in particular Listing Rule 3.1.

5. **Please confirm that CDX's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its Board or an officer of CDX with delegated authority from the board to respond to ASX on disclosure matters.**

The above responses to the questions raised by the ASX have been authorised and approved by the Executive Chairman of Cardiex.

Yours faithfully,



Louisa Ho
Company Secretary
Cardiex Limited

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17 February 2025

Reference: 106213

Ms Louisa Ho
Company Secretary
Maven Corporate
24-26 Kent Street, Millers Point NSW 2000

By email: louisa@mavencorporate.com.au

Dear Ms Ho

Cardiex Limited ('CDX'): Price - Query

ASX refers to the following:

A. The change in the price of CDX's securities from a low of \$0.115 to a high of \$0.155 today.

Request for information

In light of this, ASX asks CDX to respond separately to each of the following questions and requests for information:

1. Is CDX aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?

In responding to this question, please consider in particular whether CDX is aware of any information that its earnings for the 6 month period ended on 31 December 2024:

- (a) are likely to differ materially (downwards or upwards) from any earnings guidance it has given for the period; or
 - (b) if CDX has not given any earnings guidance for the period, are otherwise likely to come as a surprise to the market (by reference to analyst forecasts for the period or, if CDX is not covered by analysts, its earnings for the prior corresponding period)?
2. If the answer to question 1 is "yes".
 - (a) Is CDX relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in CDX's securities would suggest to ASX that such information may have ceased to be confidential and therefore CDX may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
 - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
 - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
 3. If the answer to question 1 is "no", is there any other explanation that CDX may have for the recent trading in its securities?
 4. Please confirm that CDX is complying with the Listing Rules and, in particular, Listing Rule 3.1.

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5. Please confirm that CDX's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of CDX with delegated authority from the board to respond to ASX on disclosure matters.

When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **5:45 PM AEDT Monday, 17 February 2025**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, CDX's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require CDX to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsComplianceSydney@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

Trading halt

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in CDX's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in CDX's securities under Listing Rule 17.3.

Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to CDX's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that CDX's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

Release of correspondence between ASX and entity

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

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Yours sincerely



ASX Compliance