

12 May 2025

Raj Logarajah  
Principal Adviser, Listings Compliance  
ASX Limited

**HOLISTA COLLTECH LIMITED ('HCT') – Price Query**

Dear Mr Logarajah

I refer to your letter dated 12 May 2025 and provide answers to each of your questions below:

1. Is HCT aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?

**Response: No, the Company is not aware of any information concerning it that has not been announced to the market which if known by some in the market, could explain the recent trading in its securities.**

2. If the answer to question 1 is "yes".
  - (a) Is HCT relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in HCT's securities would suggest to ASX that such information may have ceased to be confidential and therefore HCT may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?

**Response: Not applicable**

3. If the answer to question 1 is "no", is there any other explanation that HCT may have for the recent trading in its securities?

**Response: HCT has no other explanation for the recent trading in its securities.**

4. Please confirm that HCT is complying with the Listing Rules and, in particular, Listing Rule 3.1.

**Response: The Company confirms that it is complying with the Listing Rules and, in particular, Listing Rule 3.1.**

5. Please confirm that HCT's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of HCT with delegated authority from the board to respond to ASX on disclosure matters.

**Response: I confirm that responses to the questions above have been authorised and approved by its board.**

**Yours sincerely**



Jay Stephenson  
**COMPANY SECRETARY**

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12 May 2025

Reference: ODIN109109

Mr Jay Stephenson  
Company Secretary  
Holista Colltech Limited

By Email: jay.stephenson@foresthouse.com.au

Dear Mr Stephenson

**Holista Colltech Limited ('HCT'): Price - Query**

ASX refers to the following:

- A. The change in the price of HCT's securities from a close of \$0.043 on Friday, 9 May 2025 to an intraday high of \$0.055 today.
- B. The significant increase in the volume of HCT's securities traded from 9 May 2025 to 12 May 2025.

**Request for information**

In light of this, ASX asks HCT to respond separately to each of the following questions and requests for information:

1. Is HCT aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is HCT relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in HCT's securities would suggest to ASX that such information may have ceased to be confidential and therefore HCT may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that HCT may have for the recent trading in its securities?
4. Please confirm that HCT is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that HCT's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of HCT with delegated authority from the board to respond to ASX on disclosure matters.

**When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **1:30 PM AWST Monday, 12 May 2025**. You should note that if the information

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requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, HCT's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require HCT to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsCompliancePerth@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in HCT's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in HCT's securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to HCT's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that HCT's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Yours sincerely

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ASX Compliance