

Listings Compliance
ASX Limited
Level 4, Exchange Centre
20 Bridge Street
Sydney, NSW 2000

Via email: ListingsComplianceSydney@asx.com.au

Dear Listings Compliance,

RocketBoots Limited ('ROC'): Appendix 3Y – Change of Director's Interest Notice Query

We refer to the query letter from ASX Compliance dated 6 January 2026, titled "RocketBoots Limited ('ROC'): Appendix 3Y – Change of Director's Interest Notice Query".

We provide RocketBoots Limited's response as follows in relation to the late lodgement of the Appendix 3Y of Mr David Willington:

1. Please explain why the Appendix 3Y was lodged late.

The late lodgement of Mr David Willington's Appendix 3Y was due to an administrative oversight as part of a restructure of holdings which was not initially disclosed to ROC. Mr David Willington discovered this oversight as part of his private entity's December 2025 quarterly review process conducted in January 2026, after which it was immediately communicated to ROC. ROC then promptly sought to clarify the relevant details and to lodge the Appendix 3Y.

2. What arrangements does ROC have in place under Listing Rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under Listing Rule 3.19A?

ROC confirms that all directors of the Company are aware of their obligations under Listing Rule 3.19A. ROC has adopted and maintains a Securities Trading Policy which sets out requirements under Listing Rule 3.19A and s205G of the Corporations Act 2001 (Cth) for the notifiable interests of directors.

3. If the current arrangements are inadequate or not being enforced, what additional steps does ROC intend to take to ensure compliance with Listing Rule 3.19B?

As a result of the breach, Mr David Willington's private entity has adopted new policies to ensure timely disclosure of changes in interests to ROC as well as adherence to the ASX Listing Rules in general. ROC considers this to be an isolated incident and not related to any deficiencies in its internal processes, but has again reminded all directors of their obligations under Listing Rule 3.19A and ASX Listing Rules in general.

Sincerely,
RocketBoots Ltd
Authorised by the Board

6 January 2026

Mr Cameron Petricevic
Company Secretary
RocketBoots Limited

By email: cameron.petricevic@rocketboots.com

Dear Mr Petricevic

RocketBoots Limited ('ROC'): Appendix 3Y – Change of Director's Interest Notice Query

ASX refers to the following:

1. ROC's Appendix 3Y lodged on the ASX Market Announcements Platform ('MAP') on 5 January 2026 for Mr David Willington (the 'Notice');
2. Listing Rule 3.19A which requires an entity to tell ASX the following:

3.19A.1 *'The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times.*

- *On the date that the entity is admitted to the official list.*
- *On the date that a director is appointed.*

The entity must complete Appendix 3X and give it to ASX no more than 5 business days after the entity's admission or a director's appointment.

3.19A.2 *A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) including whether the change occurred during a closed period where prior written clearance was required and, if so, whether prior written clearance was provided. The entity must complete Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.*

3.19A.3 *The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.'*

3. Listing rule 3.19B which states that:

'An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19A. The entity must enforce the arrangements with the director.'

The Notice indicates that a change in Mr Willington's notifiable interest occurred on 22 September 2025. It appears that the Notice should have been lodged with ASX by 29 September 2025. Consequently, ROC may have breached Listing Rules 3.19A and/or 3.19B. It also appears that Mr Willington may have breached section 205G of the Corporations Act 2001 (Cth).

Request for Information

Under Listing Rule 18.7, we ask that you answer each of the following questions having regard to Listing Rules 3.19A and 3.19B and *Guidance Note 22: Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities*.

1. Please explain why the Appendix 3Y was lodged late.
2. What arrangements does ROC have in place under Listing Rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under Listing Rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does ROC intend to take to ensure compliance with Listing Rule 3.19B?

When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **1:30 PM AEDT Friday, 9 January 2026**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, ROC's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require ROC to request a trading halt immediately.

Your response should be sent to me by e-mail at ListingsComplianceSydney@asx.com.au. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in ROC's securities under Listing Rule 17.3.

Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to ROC's obligations under Listing Rules 3.1 and 3.1A and also to *Guidance Note 8 Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that ROC's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

Release of correspondence between ASX and entity

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Yours sincerely

ASX Compliance