

10 April 2026

Listings Compliance (Sydney)
ASX Limited
By email: ListingsComplianceSydney@asx.com.au

Dear Sir/Madam

Sequoia Financial Group Limited (SEQ or the Company) – Response to ASX Letter

We refer to your letter dated 9 April 2026 regarding compliance with listing rule 15.7. We respond to each of your queries as follows:

1. Did SEQ's managing director and CEO, Garry Crole, communicate with the Professional Planner in relation to the Article? If not, please explain when (including time and date), and with whom, Mr Crole instead communicated with.

No, Mr Crole recalls speaking to a journalist in the mid-afternoon of 20 March 2026 who referenced a sale of InterPrac to Avalon as reason for the Company's trading halt and he recalls correcting that journalist stating the Company expected to release an update to the ASX later that day. Mr Crole confirms that response was sent to the ASX at 5pm that day but not released until the Monday. Upon reflection and in speaking to Professional Planner yesterday and making an apology for the mistaken identity Mr Crole realised the journalist was not from Professional Planner but from another publication or news outlet. Mr Crole cannot recall for certain which publication the telephone call was with.

2. Please confirm there is no other information provided in the Response that requires correction. If so, please provide details.

There is nothing further requiring correction.

3. Please confirm that SEQ's responses to the above have been authorised and approved in accordance with its published continuous disclosure policy or otherwise by its board or an officer of SEQ with delegated authority from the board to respond to ASX on disclosure matters.

SEQ confirms that the responses provided in this letter have been approved in accordance with its published continuous disclosure policy and by an authorised officer of SEQ.

Yours faithfully

Natalie Climo
Natalie Climo

Company Secretary
Sequoia Financial Group Limited

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9 April 2026

Ms Natalie Climo
Company Secretary
Sequoia Financial Group Ltd
Suite 7.01, Level 7 1 Castlereagh Street
SYDNEY NSW 2000

By email

Dear Ms Climo

Sequoia Financial Group Ltd ('SEQ'): Compliance with Listing Rule 15.7

ASX refers to the following:

- A. SEQ's response dated 8 April 2026 (the 'Response') to ASX's query letter dated 1 April 2026 (the 'ASX Letter'). Capitalised terms in this letter have the same meaning as in the ASX Letter.
- B. Information received by ASX suggesting that Mr Garry Crole did not communicate with a journalist from Professional Planner in relation to the Article referred to in Question 1 of the Response.

Request for Information

Having regard to the above, ASX asks SEQ to respond separately to each of the following questions and requests for information:

1. Did SEQ's managing director and CEO, Mr Garry Crole, communicate with the Professional Planner in relation to the Article? If not, please explain when (including time and date), and with whom, Mr Crole instead communicated with.
2. Please confirm there is no other information provided in the Response that requires correction. If so, please provide details.
3. Please confirm SEQ's responses to the above have been authorised and approved in accordance with its published continuous disclosure policy or otherwise by its Board or an officer of SEQ with delegated authority from the Board to respond to ASX on disclosure matters.

When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9:30 AM AEST on Friday, 10 April 2026**.

Your response should be sent by e-mail to **ListingsComplianceSydney@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow us to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

Release of correspondence between ASX and entity

We reserve the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A. The usual course is for the correspondence to be released to the market.

Regards

For personal use only



ASX Compliance

